



STUDY OF AGING EFFECTS ON SAFETY OF 18650-TYPE LiCoOx CELLS

J. Thomas Chapin

Alvin Wu

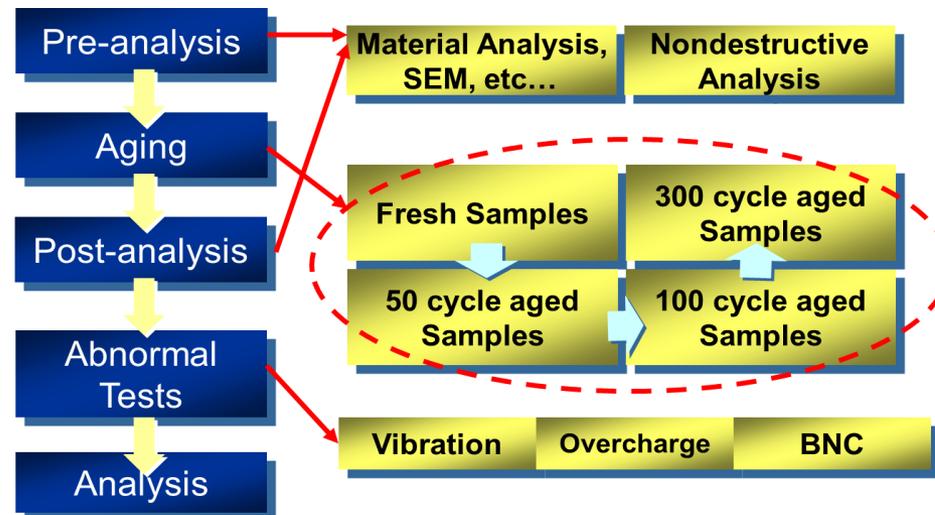
Carl Wang

Corporate Research

Underwriters Laboratories Inc.

OUTLINE

- ❑ Introduction
- ❑ Literature Overview
- ❑ Study of Aging Effects on LiB Safety
 - Electrochemistry properties of the cell
 - Thermal stability
 - Others
- ❑ Summary



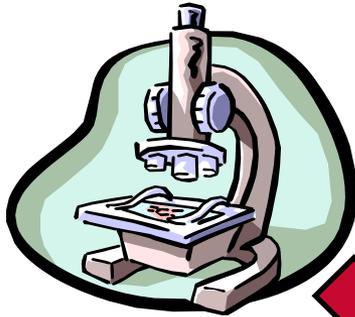
The Preliminary Investigation will focus on 18650 type Li-Co-O cells

ROOT CAUSES OF LiB SAFETY ISSUES



Manufacturing

- Uniformity of Product Quality
- Contamination
- Production Line Testing
- Out-going Quality Control



Design

- Construction Integrity
- Safety Function Design
- Material Properties



Use

- Mechanical Abuse
- Electrical Abuse
- Environmental Condition
- User Behaviors
- **Aging Effects**

The Safety Performance of a Lithium Ion Battery could change over time !



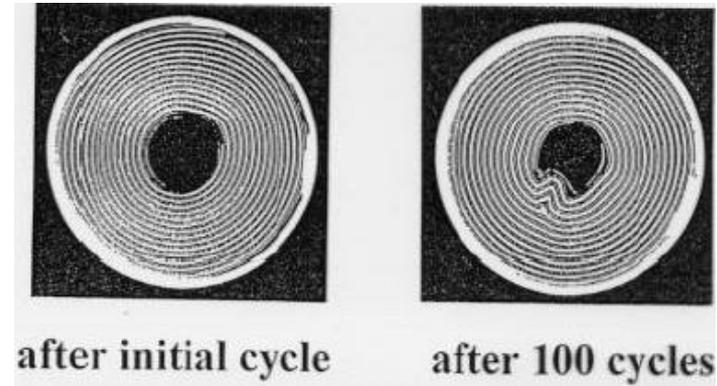
AGING EFFECT SHOULD NOT BE IGNORED

- Most product defects can be detected by safety testing, production line testing and QC screening
- Many battery incidents occur after the battery was in use for some time, even under normal (anticipated) conditions.



AGING EFFECTS ON LIB SAFETY

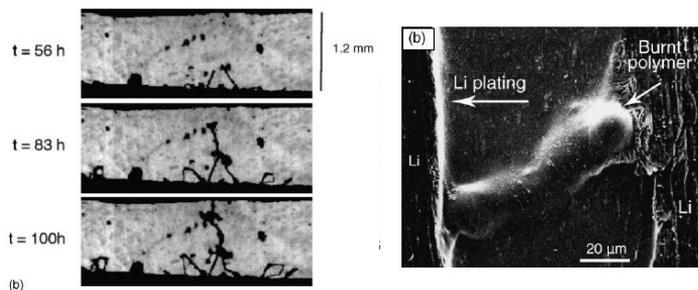
❑ Mechanical Integrity



Source: Presentation Prof. T. Takamura "Carbon Material in Power Sources". June 2005, ZSW Ulm

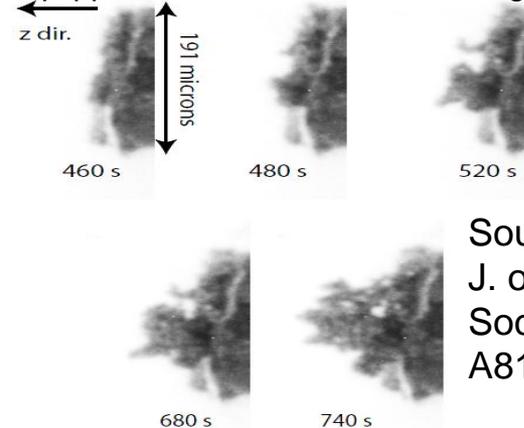
❑ Lithium Plating & Dendrite Formation

Observed by SEM and conventional optical microscopy



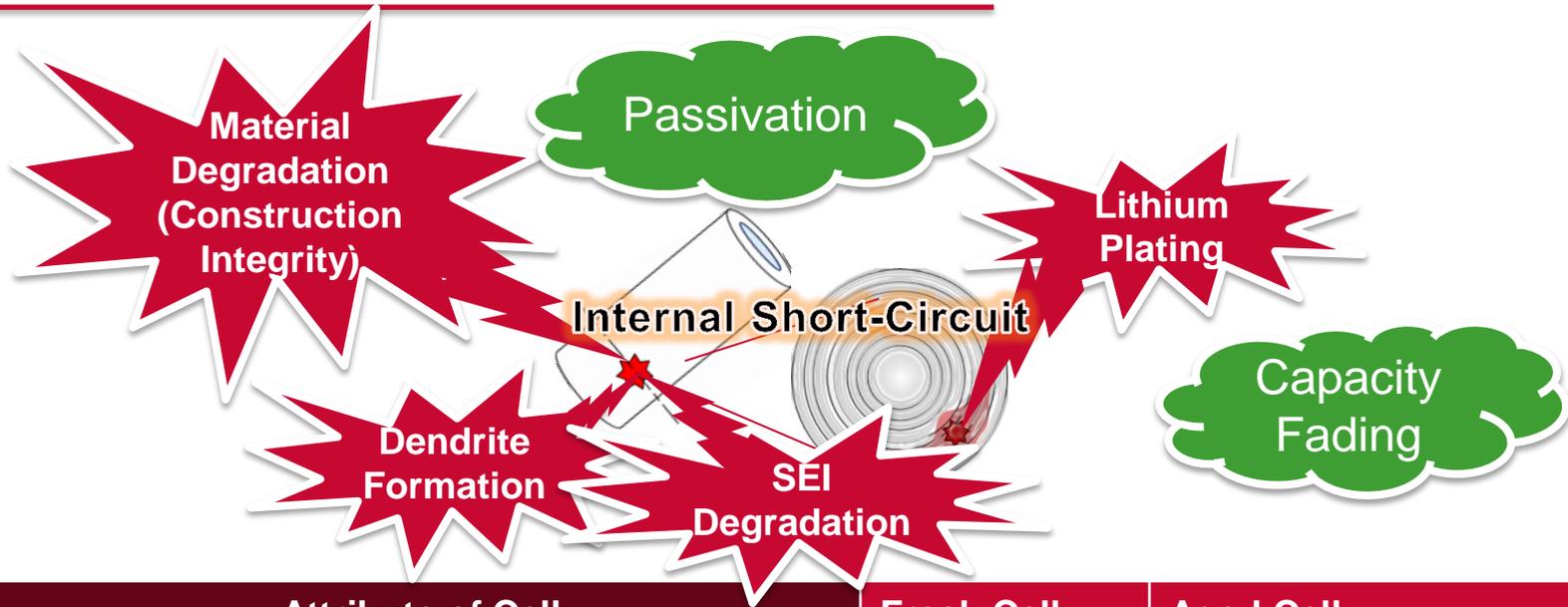
Source: M. Rosso et al., *Electrochimica Acta* 51 (2006) 5334-5340

Observed by a Carl Seiss Stemi 2000-C optical microscope equipped with a Pix-eLink 623-C digital camera



Source: O. Crowther et al., *J. of The Electrochemical Society*, 155 (11) A806-A811 (2008)

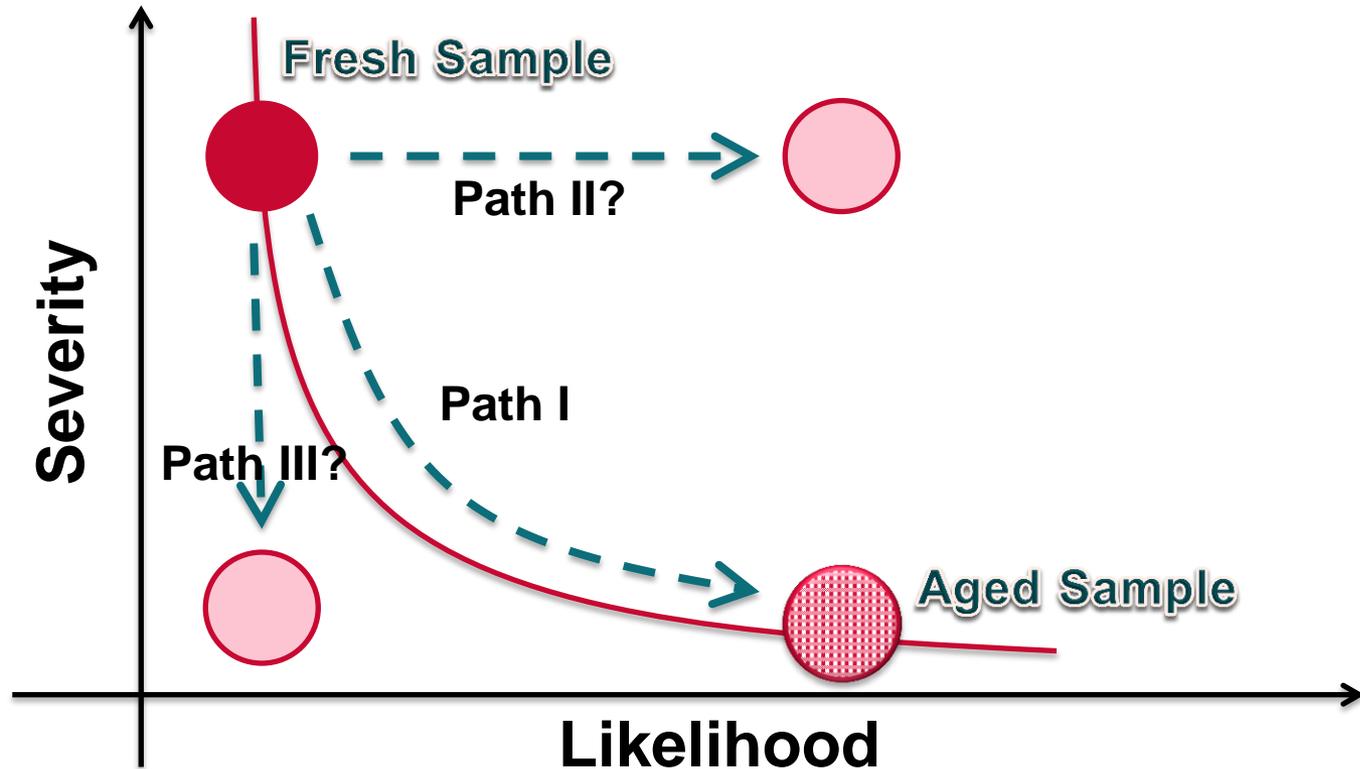
HYPOTHESIS OF AGING EFFECTS



| Attribute of Cell | Fresh Cell | Aged Cell |
|---|--------------|-------------------|
| Mechanical Integrity | Better | Getting Worse |
| Activity of Material(s)/Component(s) | More active | Less active |
| Dendrite Formation & Lithium Plating | Not an issue | Potential concern |
| Thermal Stability of Material(s)/Component(s) | Good | Could be worse |
| Polarization Effect | Less concern | Potential concern |
| Risk of Electrolyte Leakage | No | Yes |
| Tolerance to Thermal Abusive Conditions | Good | Could be worse |

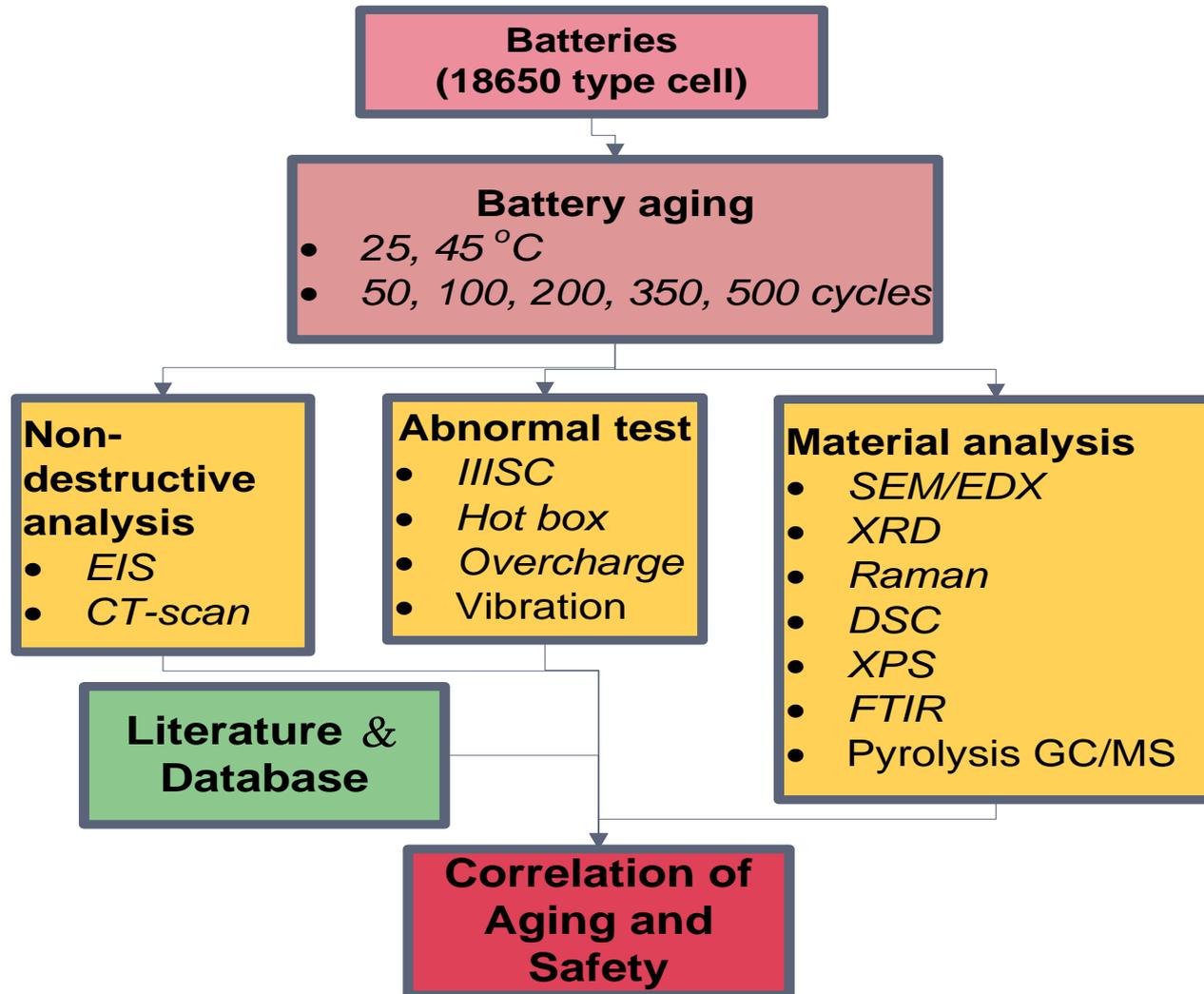


HYPOTHESIS OF AGING EFFECTS TO BATTERY FAILURES



Understand the Mechanism of Aging can help to understand the Battery Safety Behavior

STUDY OF LIB AGING EFFECT(S)

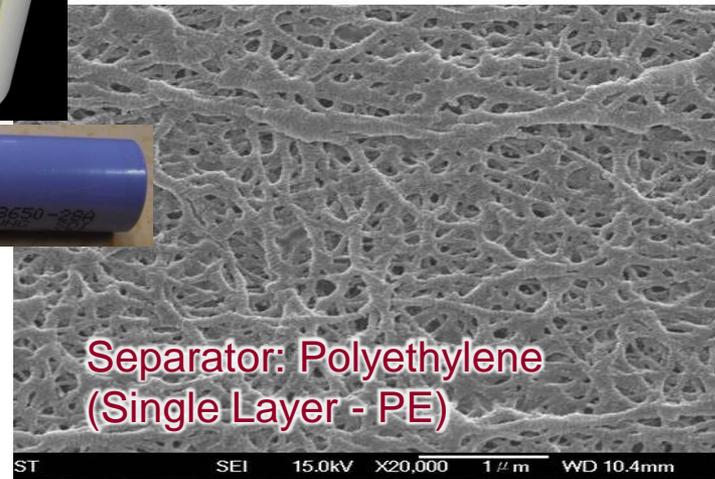
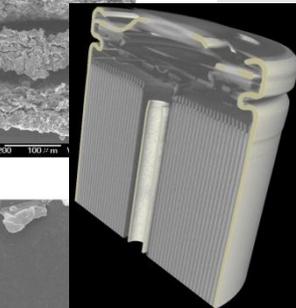
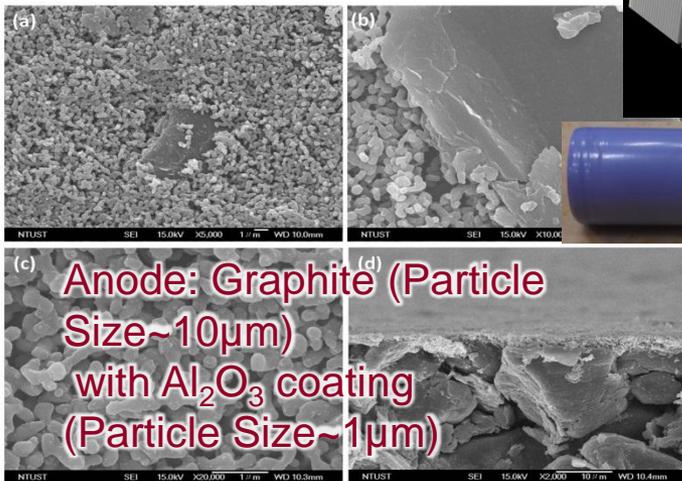
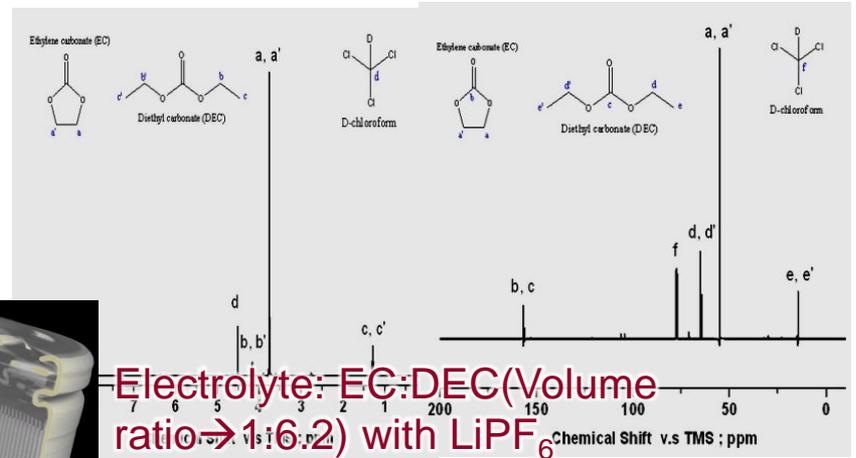
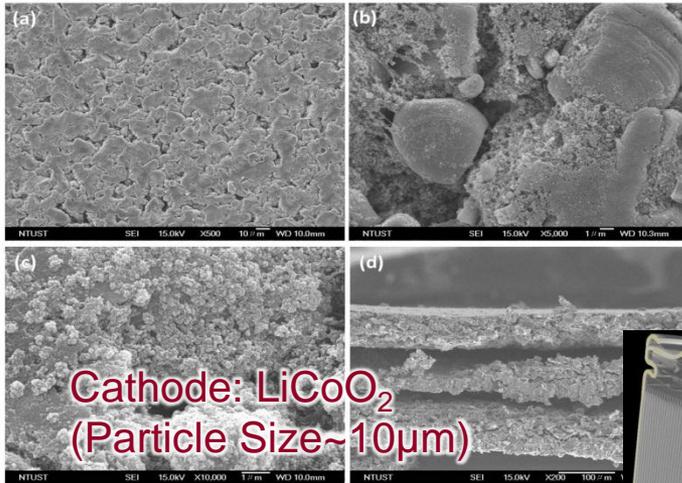


EXPERIMENTAL

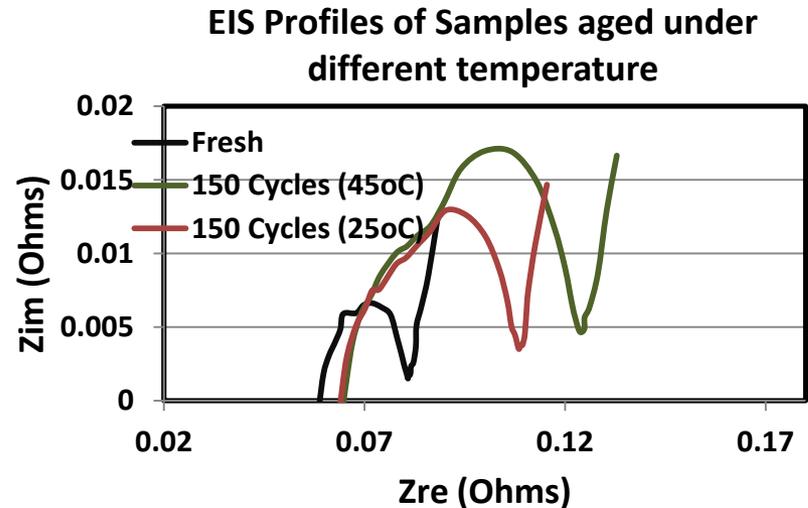
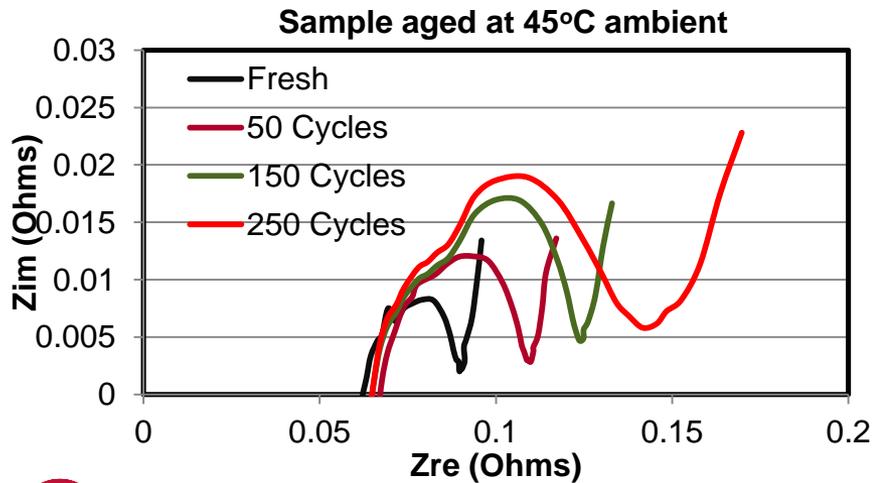
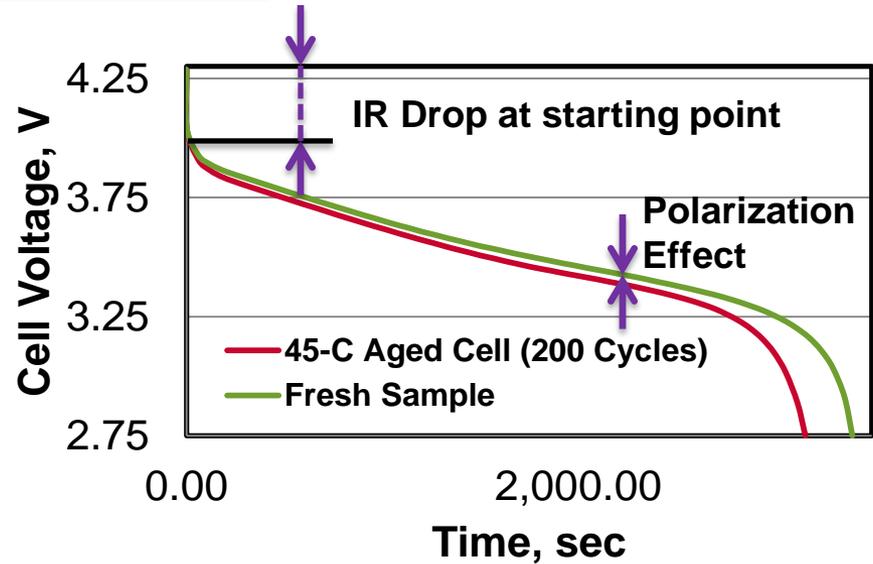
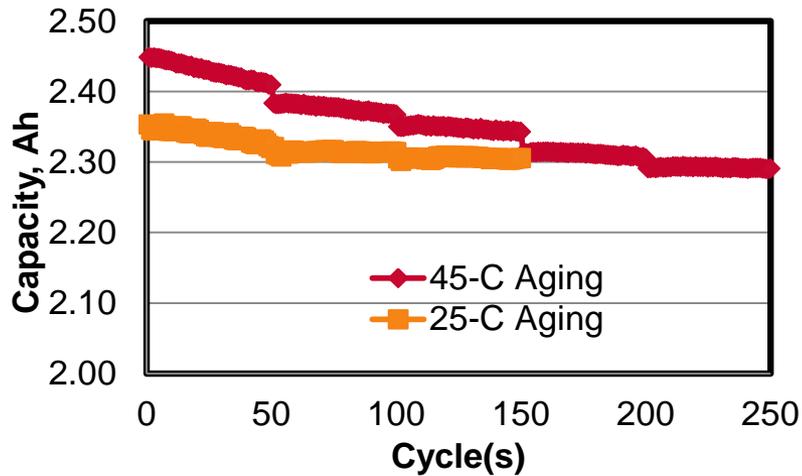
| Technique | Purpose | |
|-----------------------------|-----------------|--|
| Material Analysis | SEM | To observe the change of morphology of electrode surface, such as particle size, distribution of active material, binder, conductive carbon, coating layer, thickness and etc. |
| | EDX | To analyze the elements of battery materials (electrodes, separator) to obtain the composition change of battery materials |
| | XRD | Observe the crystal structural change of electrode |
| | FTIR | Analysis the surface chemistry, mainly SEI layer, of electrode |
| | DSC | Evaluate the change of thermal stability of the electrode materials |
| | Raman | Observe the structural change of electrode material |
| | XPS | Analyze the surface chemistry, mainly SEI layer, of electrode |
| | Pyrolysis GC/MS | Analyze the thermal decomposition mechanism for active materials |
| Non-destructive Test | EIS | Analyze the internal resistance change |
| | CT-Scan | Investigate the internal physical structure, such as valve, electrode packing and alignment |
| Abnormal Test | IIISC | Evaluate the battery behaviors when localized internal short circuit occurs. |
| | Vibration | Evaluate the battery behaviors change upon vibration after charging/discharging cycles |
| | Hot box | Evaluate thermal stability of battery with temperature |
| | Overcharge | Evaluate the battery behavior under overcharging condition |



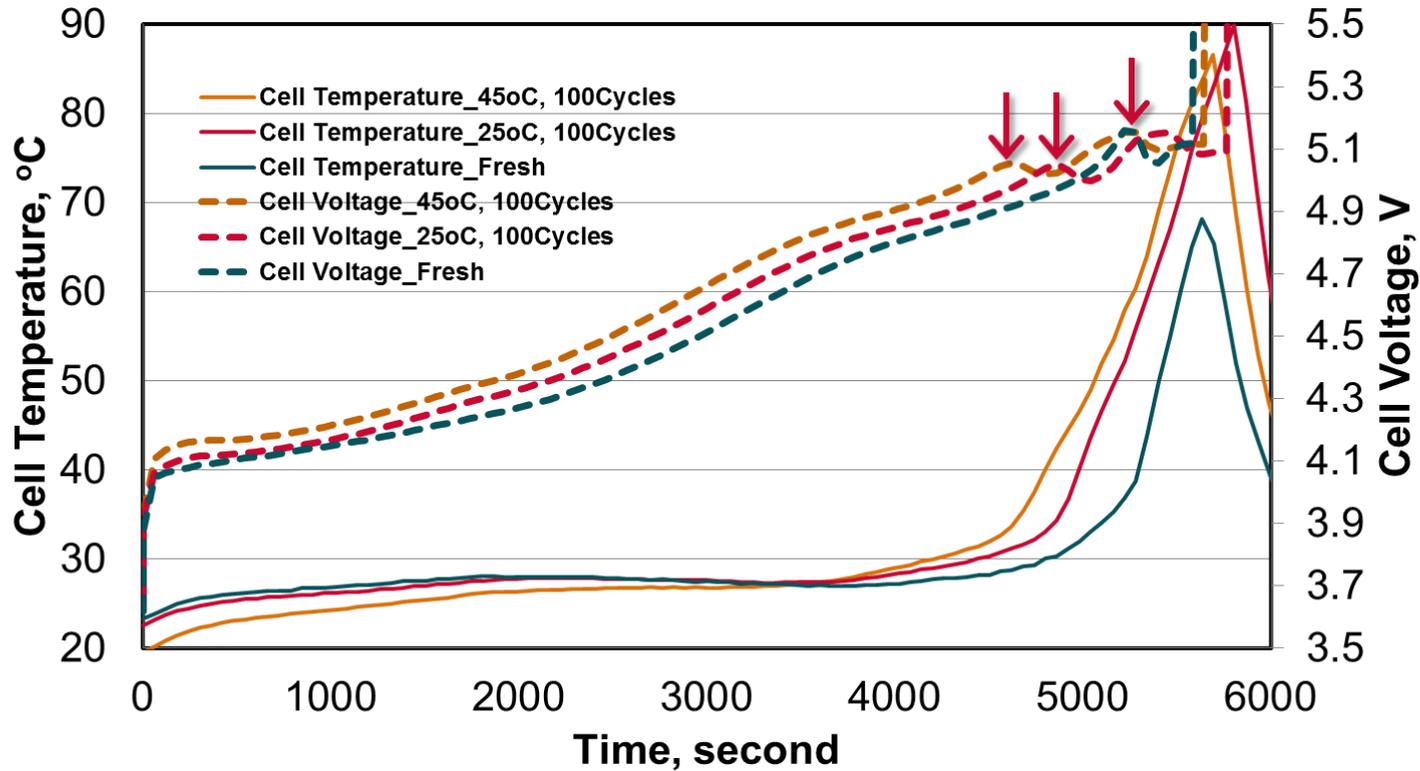
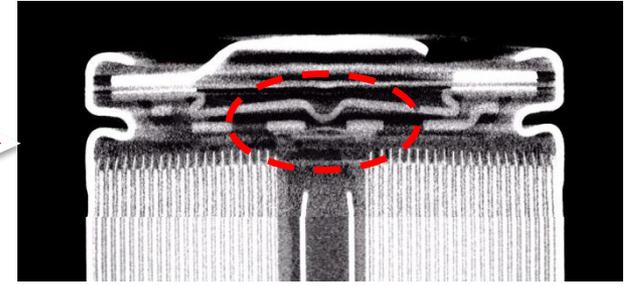
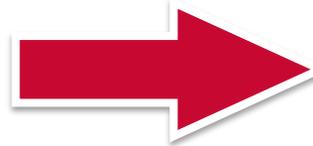
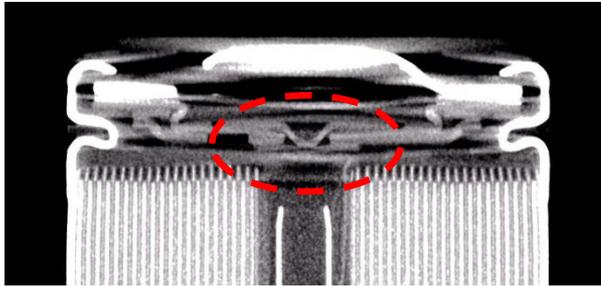
TEST SAMPLE – 18650 2800MAH CELL



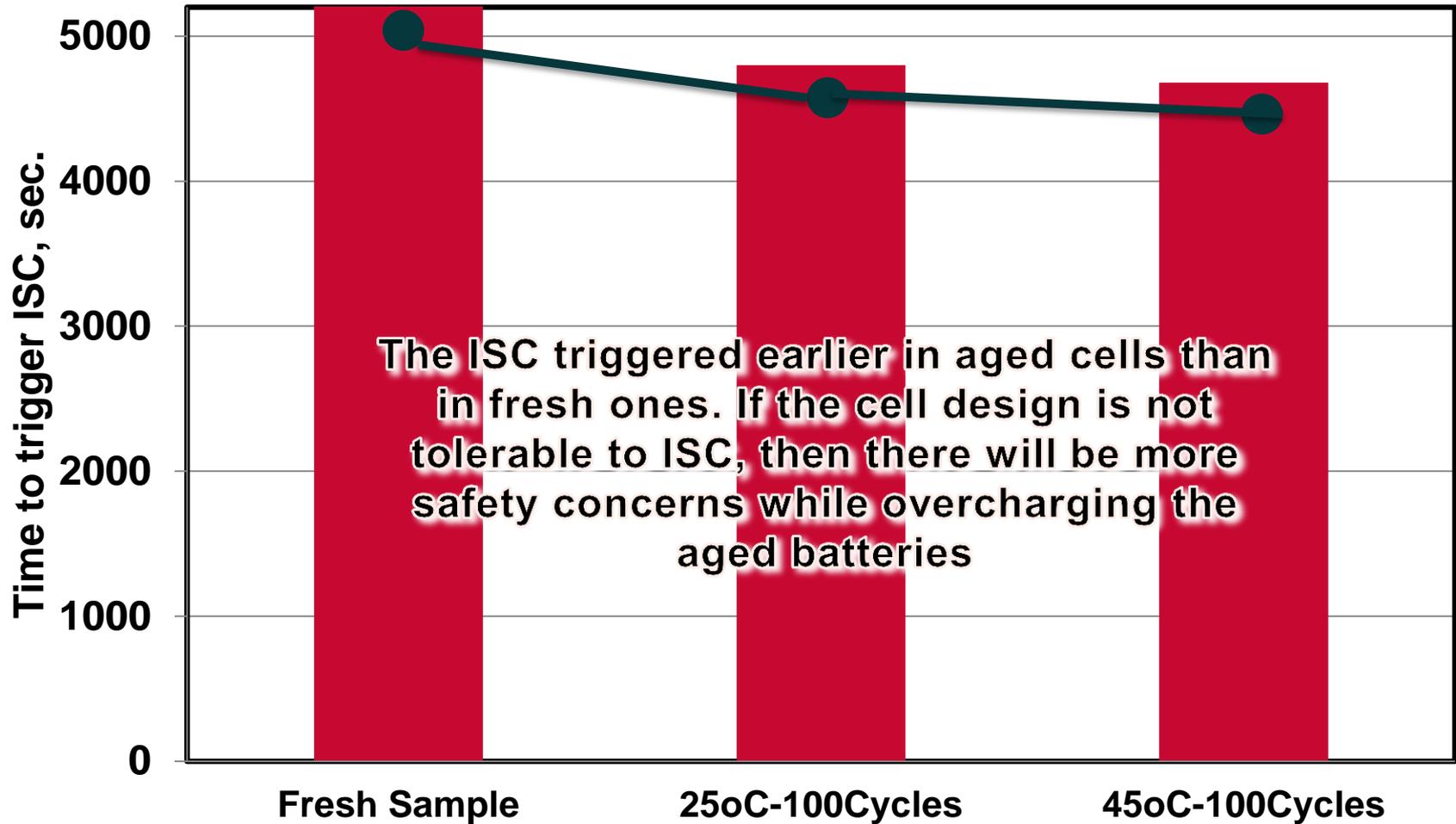
CAPACITY FADING & EIS



OVERCHARGE TEST



ISC TRIGGERED WHILE OVERCHARGING



INDENTATION-INDUCED ISC (IIISC) TEST

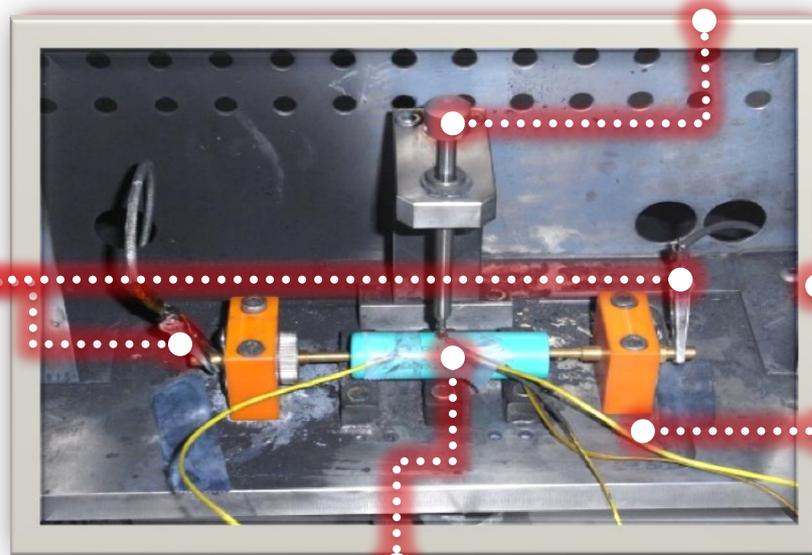
❑ Purpose:

- To investigate the “severity” of ISC event of the cell design
- To study how the “severity” changes on the identical cell design, but under different aging conditions

❑ Test Method Overview

Indenter (Crush at constant speed 0.1mm/s)

Cell Voltage reading sampled at 100Hz



Test chamber temperature maintained at $60\pm 2^{\circ}\text{C}$

Thermocouple applied to sample casing

Test Sample (100%SOC)



IIISC TEST, CELL BEHAVIOR

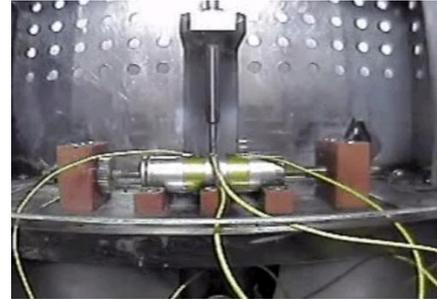
Cell aged at 45°C



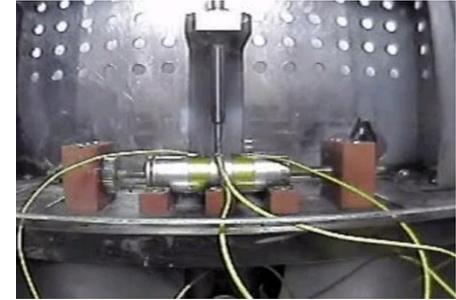
**Fresh Sample, 100%
Fails IIISC Test (N=3)**



**50 Cycle Aged Sample,
fails IIISC Test, but in
different failure mode
(i.e.. no sustained fire)**



**100 Cycle Aged Sample,
1 cell pass and 1 cell fail**



**200 Cycle Aged Sample,
1 cell pass and 1 cell fail**

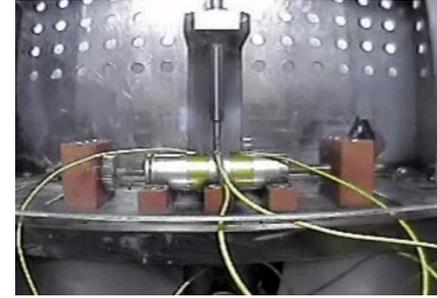
Cell aged at room temperature



**Fresh Sample 100%,
Fails IIISC Test (N=3)**



**50 Cycle Aged Sample,
100% Fails IIISC Test**



**100 Cycle Aged Sample,
1 cell pass and 1 cell fail**



HOT BOX TESTING

❑ Purpose:

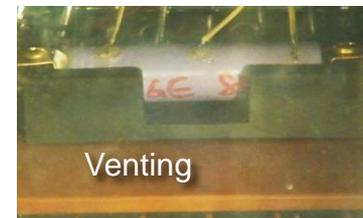
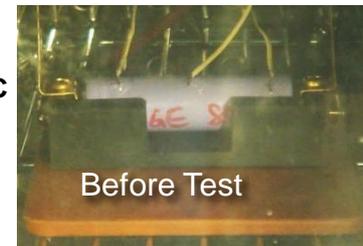
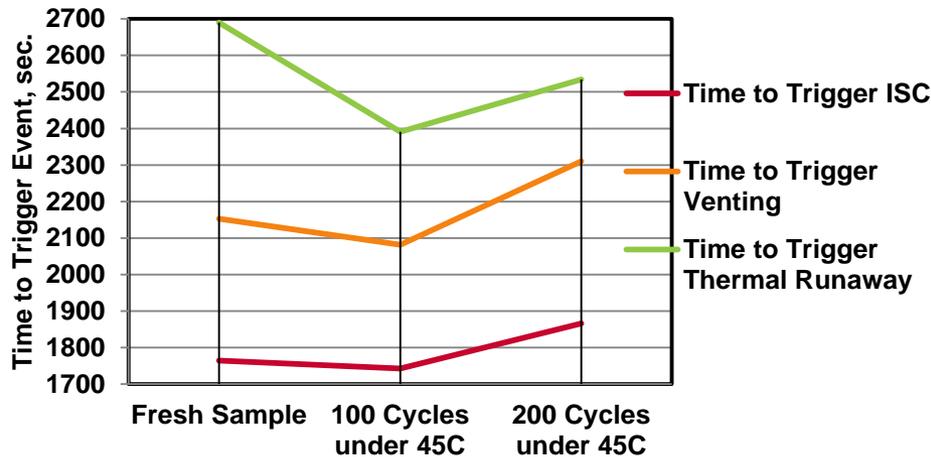
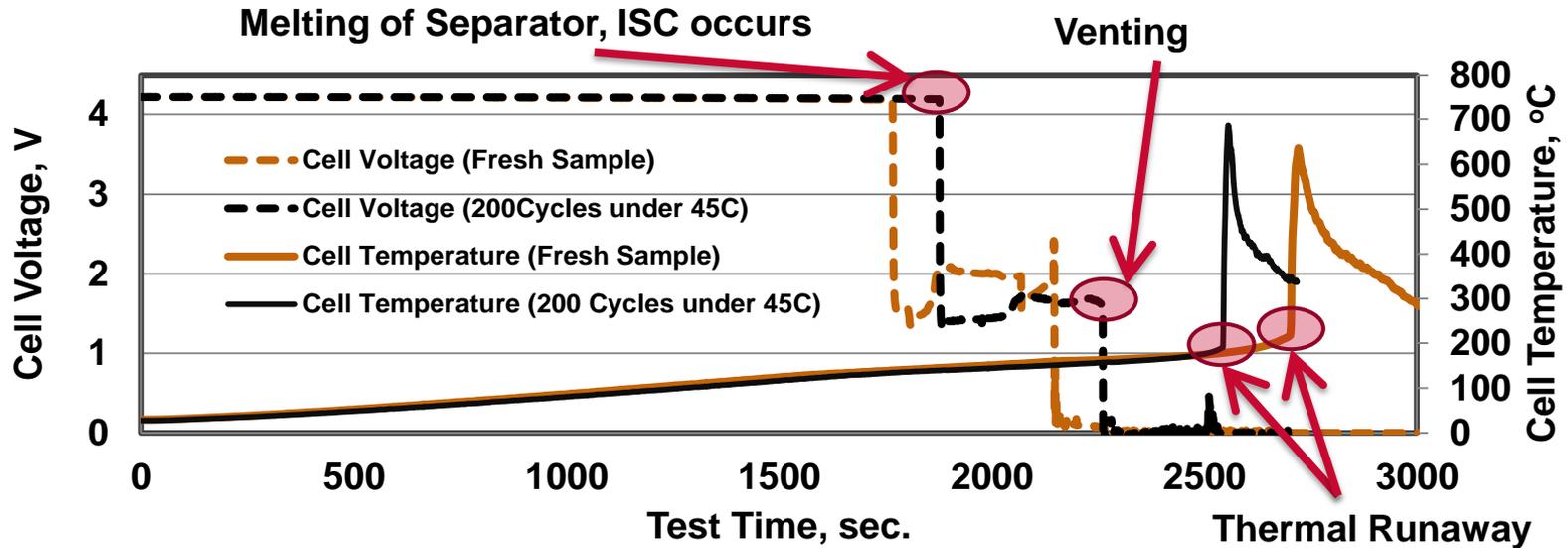
- To investigate cell behavior under heating conditions.
- To study the thermal stability of cells after aging under different aging conditions.

❑ Test Method Overview:

- Test Sample: The test sample is charged to 4.25V using CC-CV standard charging protocol.
- Experimental:
 - Put test sample in oven with the thermal couple(s) attached on the cell casing.
 - Raise the temperature of the test sample at a rate of 5°C/min from room ambient (ex.25°C) to 180°C. Maintain the oven temperature at 180°C until the “final event” of the cell. The final event is usually the thermal runaway for LiCoO₂-type cell.
 - Monitor the cell voltage and cell casing temperature while testing.

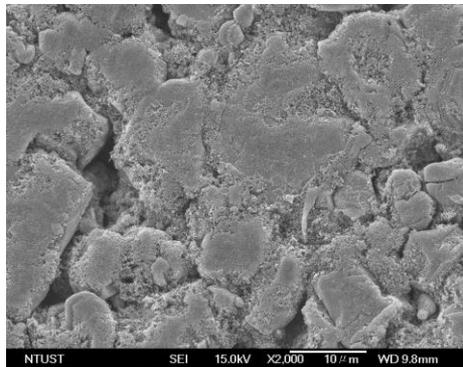


HOT BOX TESTING DATA

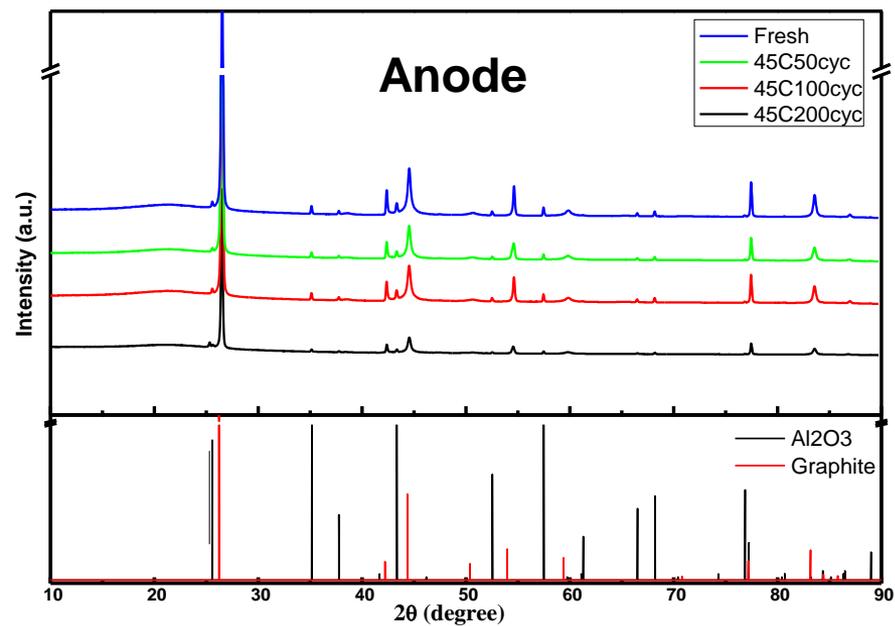
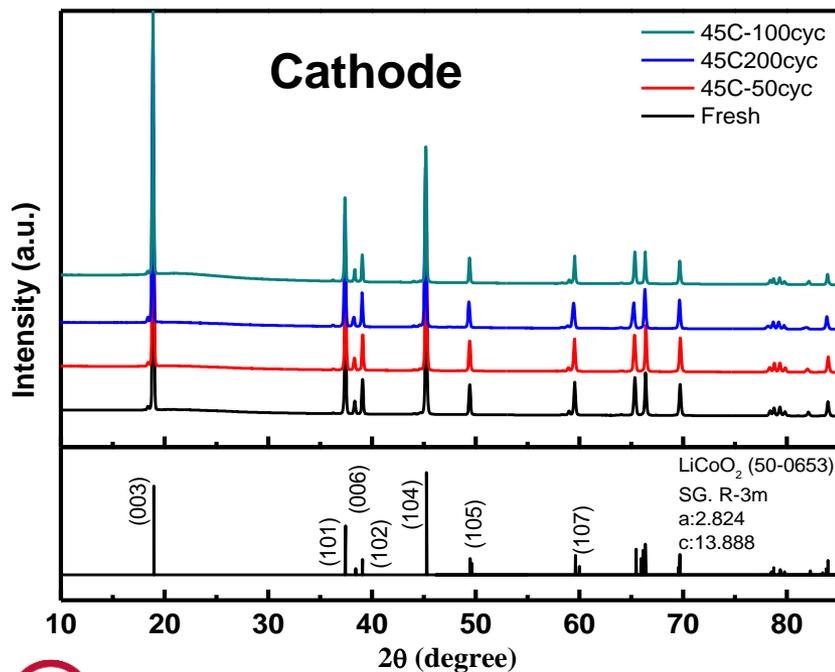
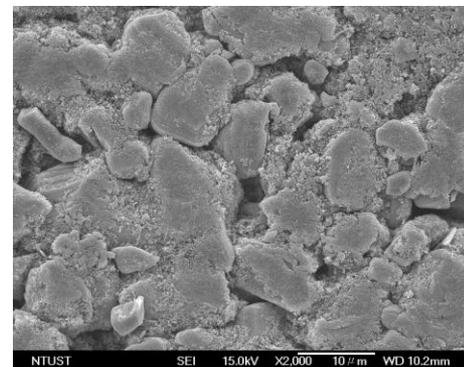


SEM AND XRD ANALYSIS

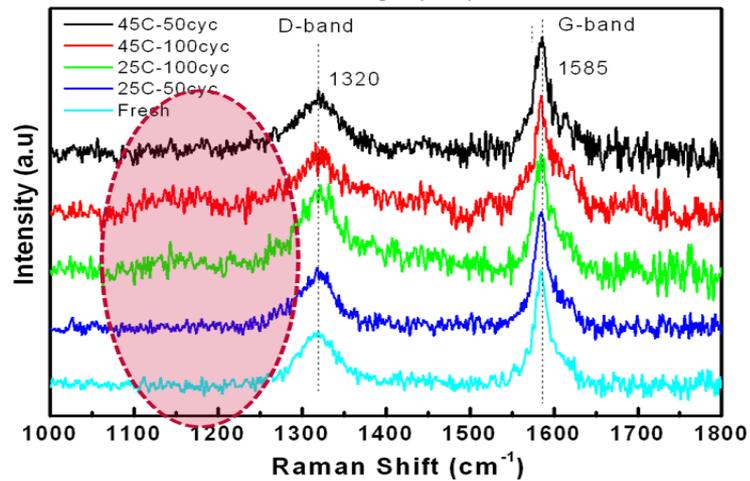
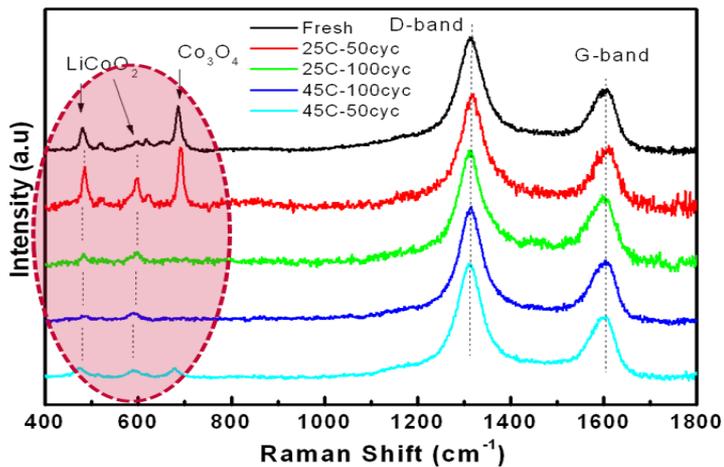
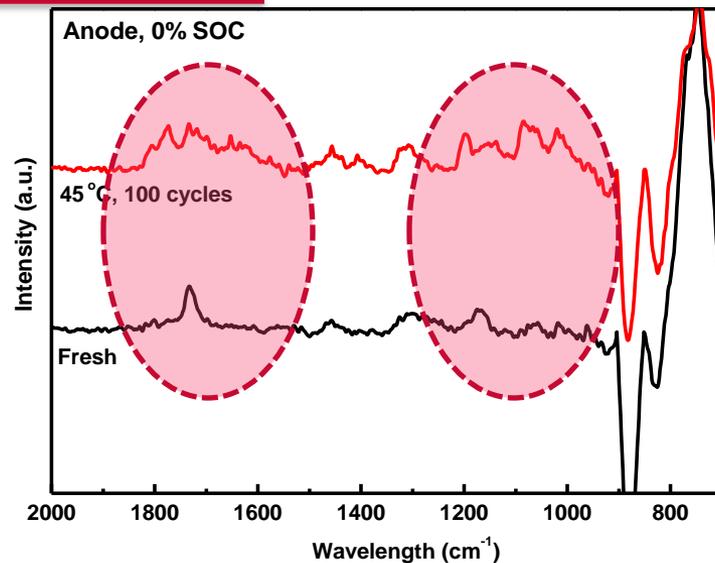
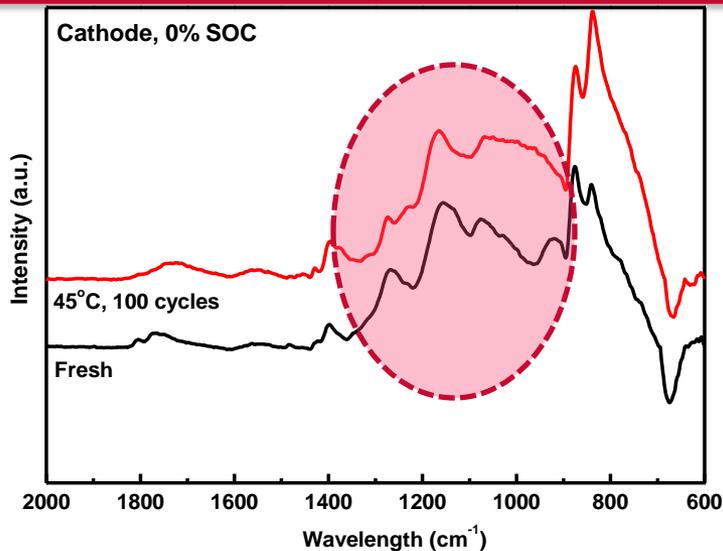
Fresh



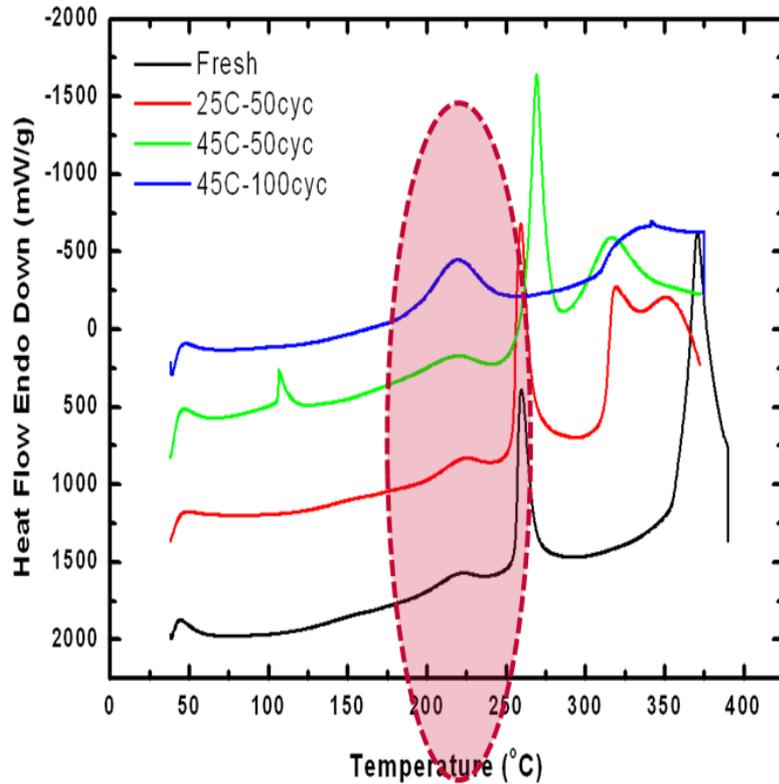
45°C, 100 cycles



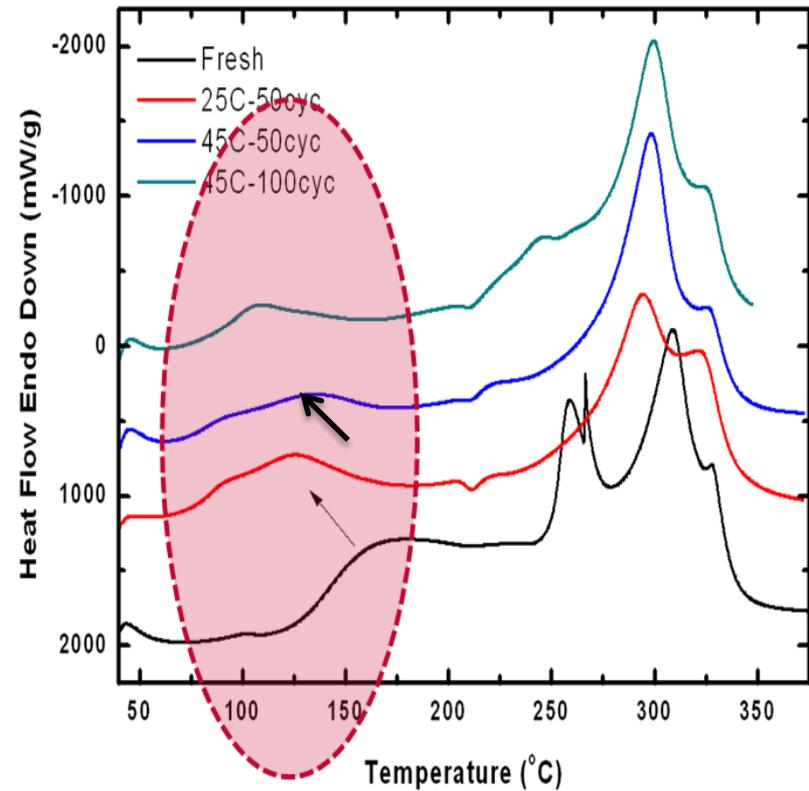
FTIR AND RAMAN ANALYSIS



DSC ANALYSIS



Cathode Material

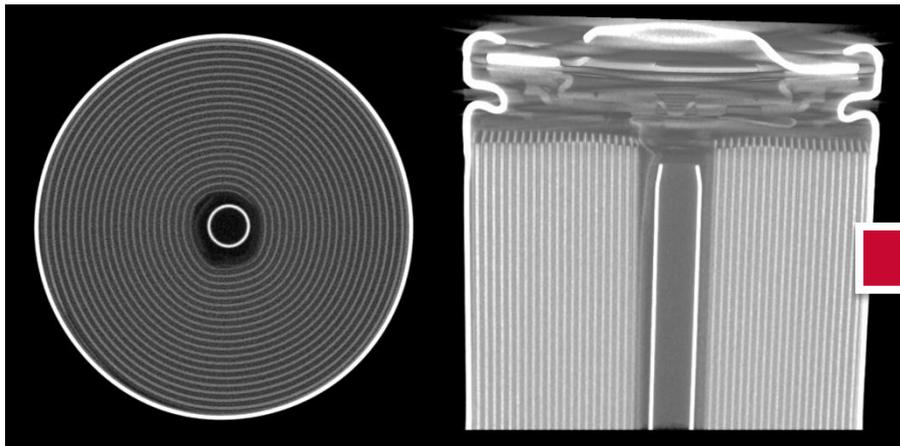


Anode Material

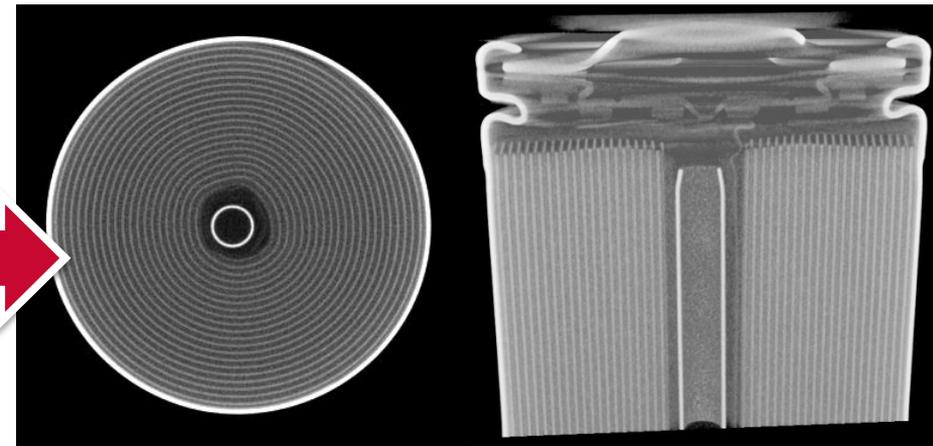


VIBRATION TEST & CONSTRUCTION INTEGRITY

| | Fresh Sample | | 100 Cycles, 25°C | | 100 Cycles, 45°C | | 200 Cycles, 45°C | |
|--------|--------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| | Sample 1 | Sample 2 | Sample 3 | Sample 4 | Sample 5 | Sample 6 | Sample 7 | Sample 8 |
| | OCV/Mass | OCV/Mass | OCV/Mass | OCV/Mass | OCV/Mass | OCV/Mass | OCV/Mass | OCV/Mass |
| Before | 4.213/45.81 | 4.209/45.90 | 4.198/45.78 | 4.208/45.88 | 4.208/45.98 | 4.214/45.79 | 4.210/45.90 | 4.203/45.88 |
| After | 4.213/45.81 | 4.209/45.90 | 4.198/45.78 | 4.208/45.88 | 4.208/45.98 | 4.214/45.79 | 4.209/45.90 | 4.203/45.88 |



Before Aging



After Aging (250 Cycles under 45°C)



AGING EFFECT SUMMARY

| | Hypothesis | UL's Investigation on 18650 Cell |
|--|--|---|
| Mechanical Integrity | Getting worse on aged battery | No different observed (from vibration test and CT images) |
| Activity of Material(s)/Component(s) | Aged battery become less active | Aged battery become less active (from IIISC test) |
| Dendrite Formation & Lithium Plating | Potential issue in aged battery | N/A (can not be observed directly, and no voltage drop observed from Vibration test) |
| Thermal Stability of Material(s)/Component(s) | Aged battery becomes worse | Aged battery become worse (from DSC data) |
| Polarization Effect | More apparent on aged battery | More apparent on aged battery (from EIS and overcharging test) |
| Risk of Electrolyte Leakage | More risk on aged battery | No different observed (from vibration test) |
| Tolerance to Thermal Abusive Conditions | Aged battery become less tolerant to thermal abuse | Aged battery become less tolerant to thermal abuse (from Hot Box test) |



FOLLOW UP AND FURTHER STUDY

- ❑ Extend the aging effect study to different material design (ie. NMC, LPF) and different cell type (ie. Prismatic, Pouch type)
- ❑ Study the aging effects on batteries with abuse aging conditions
- ❑ Further study in Overcharging Test to single cell
- ❑ Further study in Thermal Stability to the Component(s) in single cell



ACKNOWLEDGEMENT



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